

# Safe and Sustainable Nanotechnology: Using toxicology to benefit innovation and development

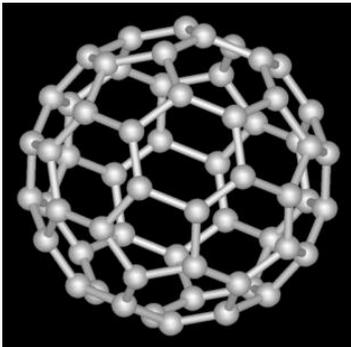
*Vicki Stone*

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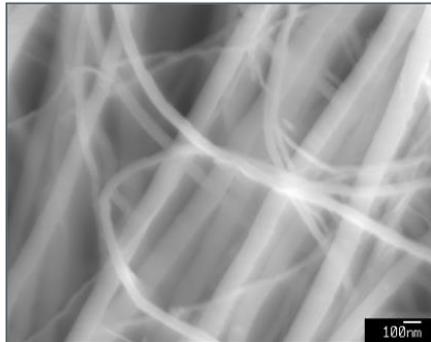


Toxicology Award Winner  
2015-16

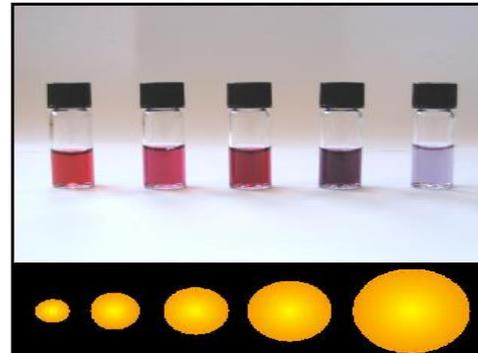
# The diversity of nanomaterials



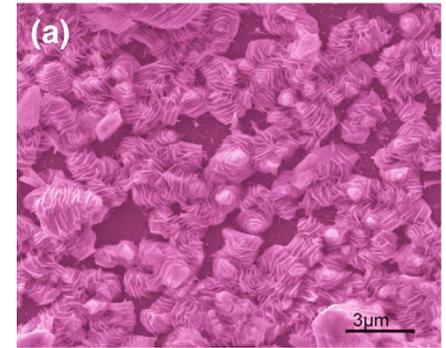
C60 Fullerenes



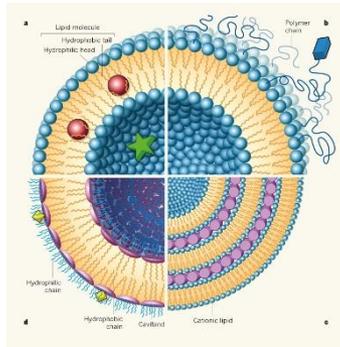
Carbon nanotubes



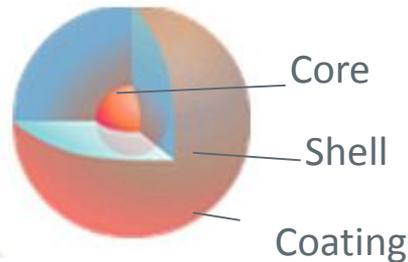
Nanoparticle gold



ZnO nano flowers



Liposomes



Quantum Dots

- Size, shape, charge...
- Matrices...
- Aged, weathered...
- Released...

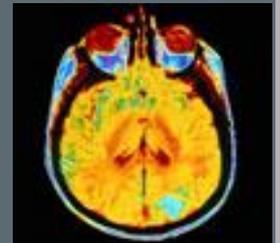
# Exposure to nanomaterials

Human Exposure

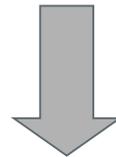
Incidental



Purposeful



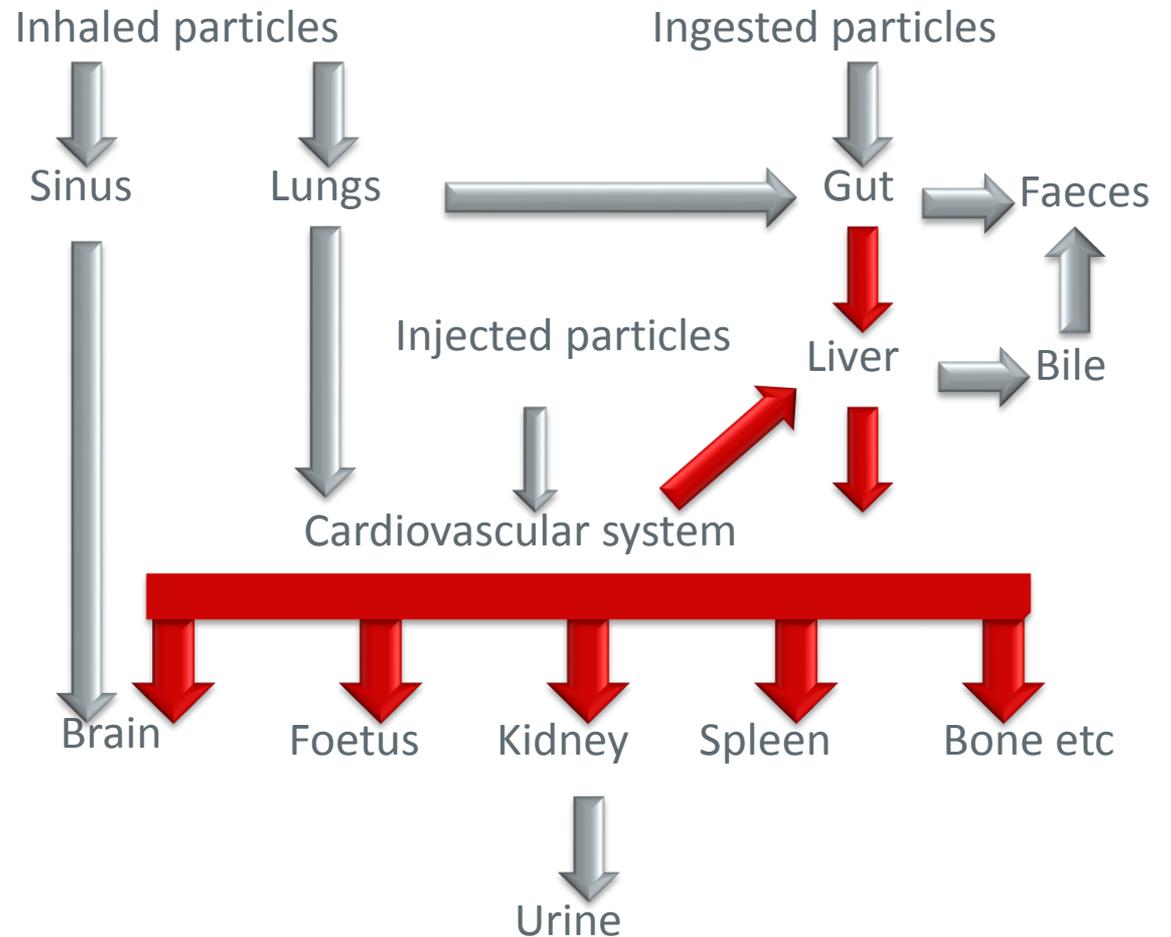
Incidental



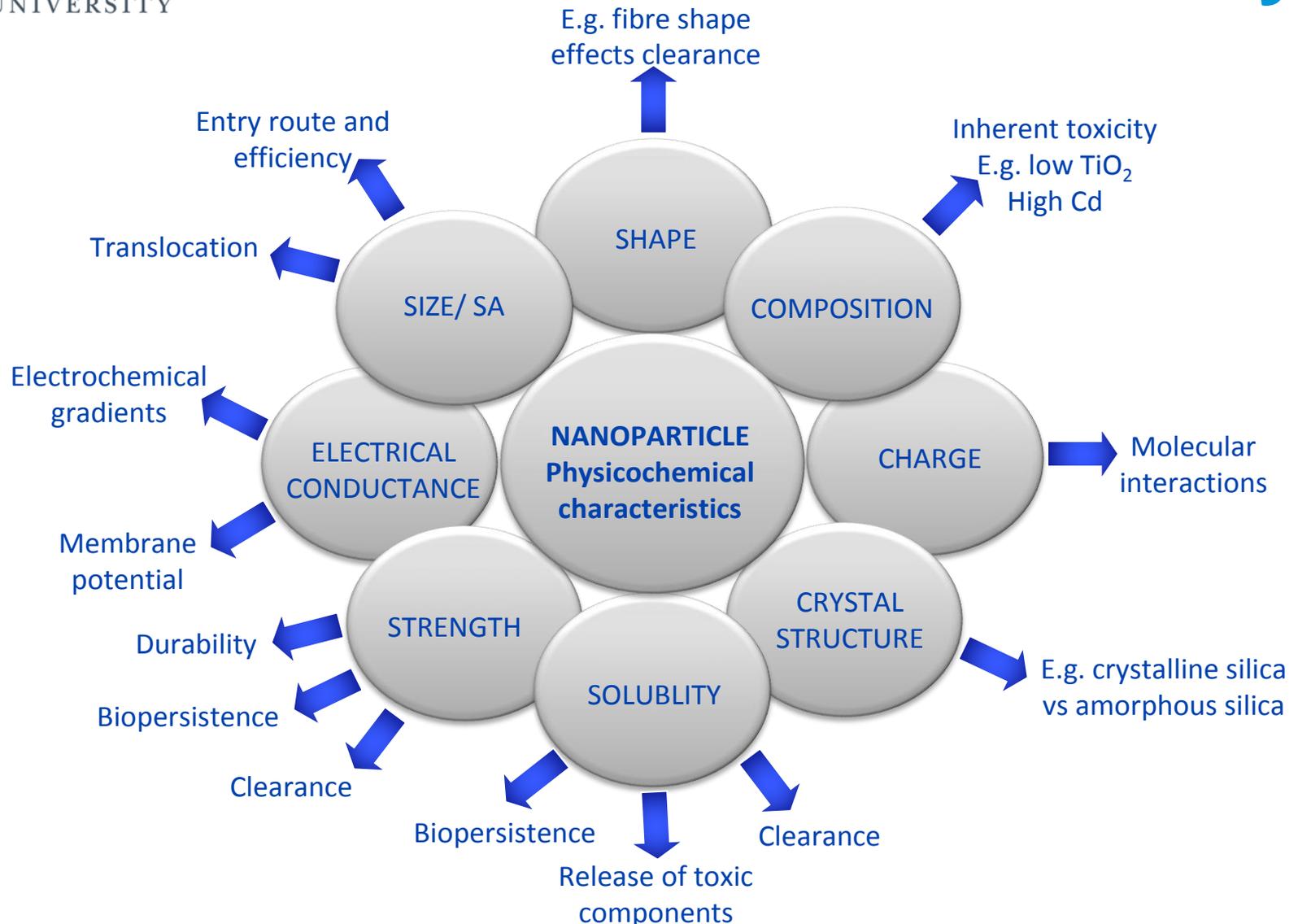
Purposeful

Environmental Exposure

# Widening the routes and targets



# Characteristics vs Toxicity



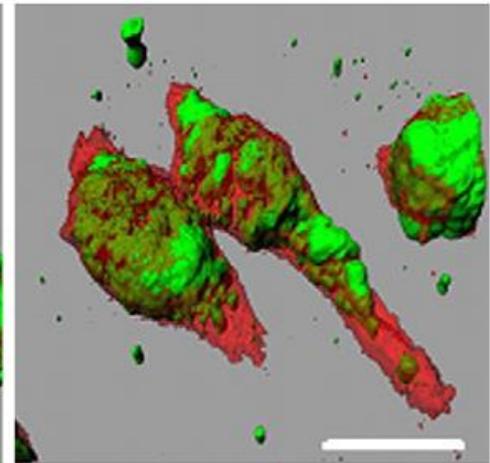
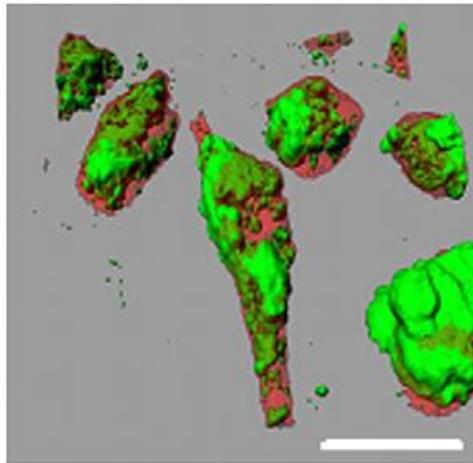
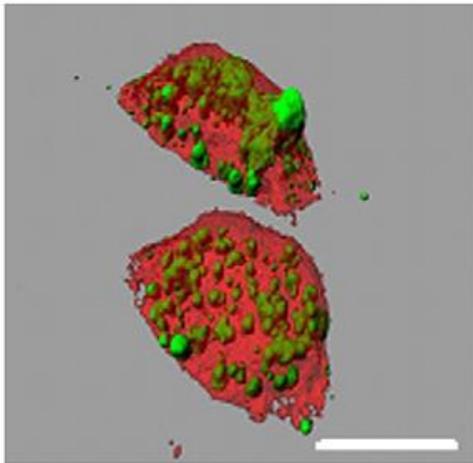
# Size and uptake

30 min

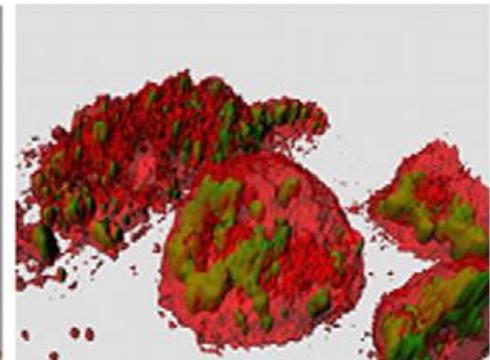
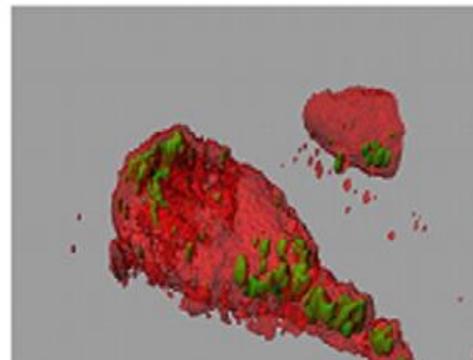
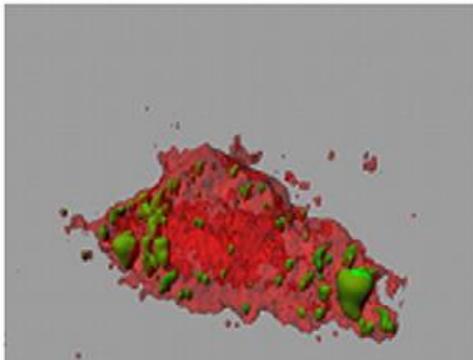
60 min

120 min

20nm  
PB

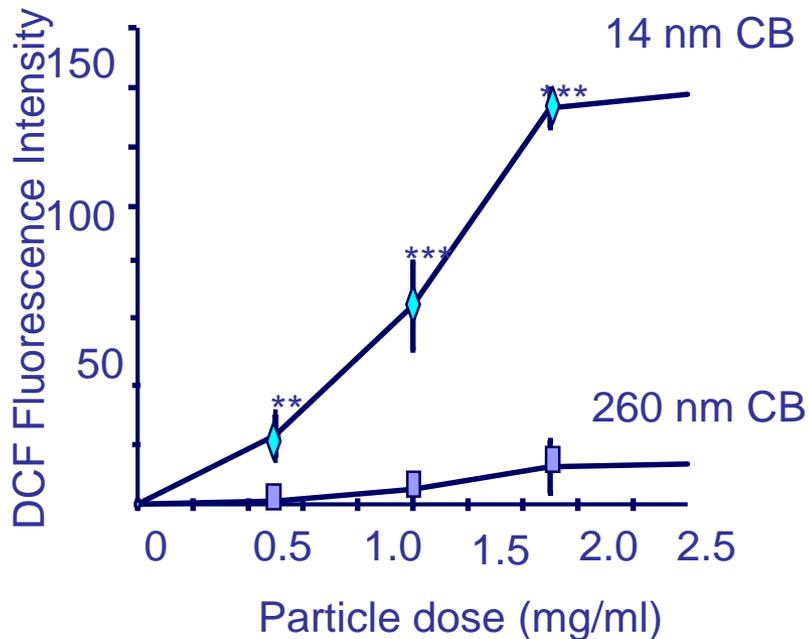


200nm  
PB

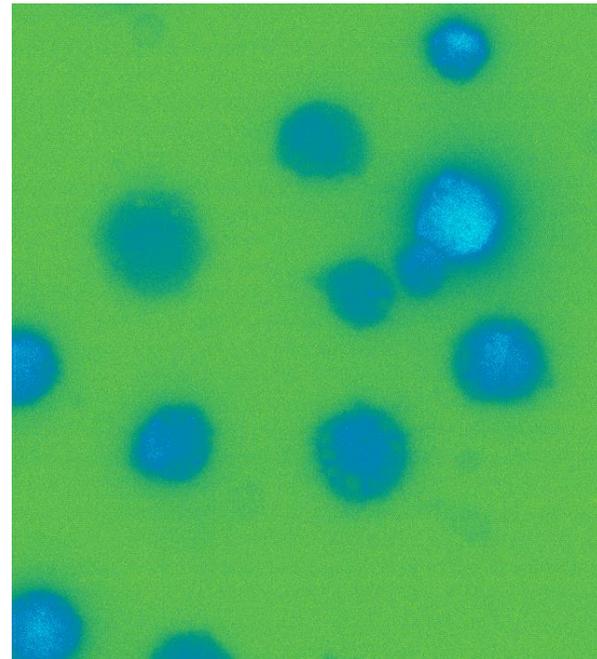


# Surface and cell reactivity

## Reactive oxygen species



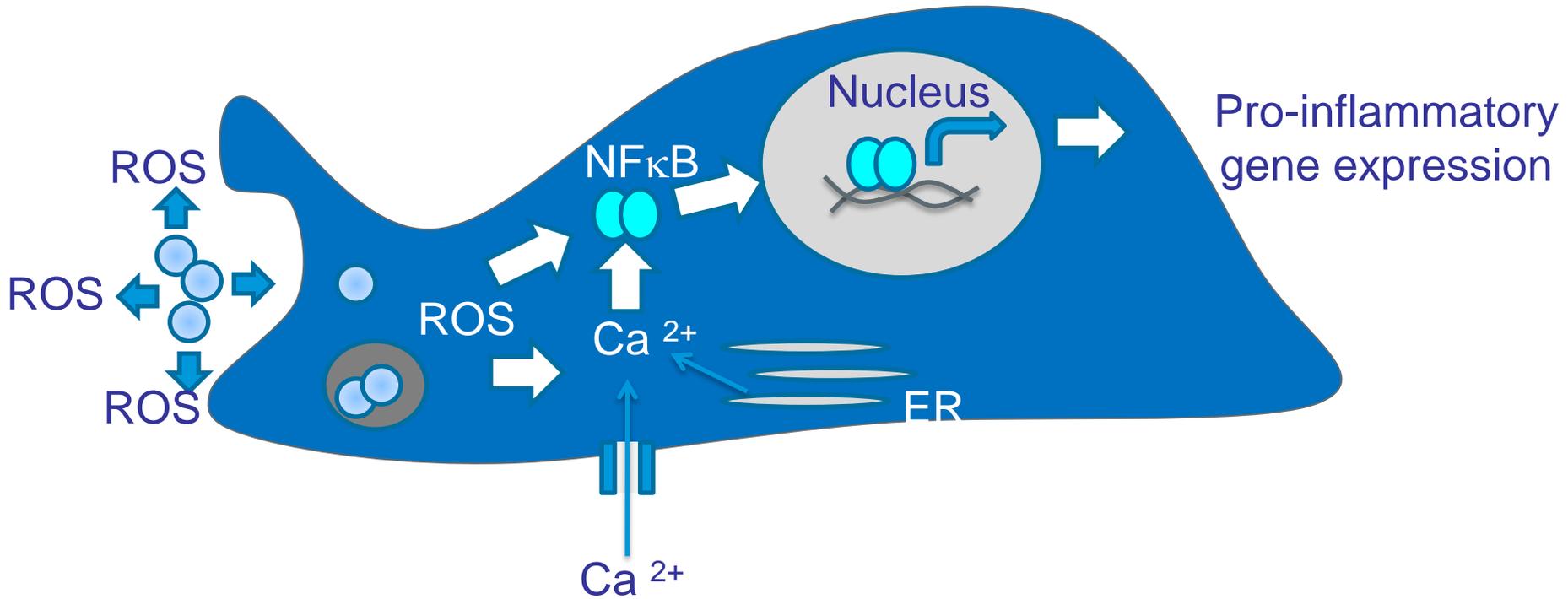
## Calcium signalling



Wilson *et al.* 2002 TAP 184: 172-179.

Brown *et al.* 2004 AJP 286; L344-L353.

Understanding mechanism allows  
identification of 'endpoints' or  
biomarkers



# Surface area

Stone and Kinloch Nanotoxicology (book),  
2007, Ed Monteiro and Tran.



**Diameter**

100  $\mu\text{m}$

**Surface area**

0.03  $\text{m}^2/\text{g}$

**% atoms at surface**

0.001 %

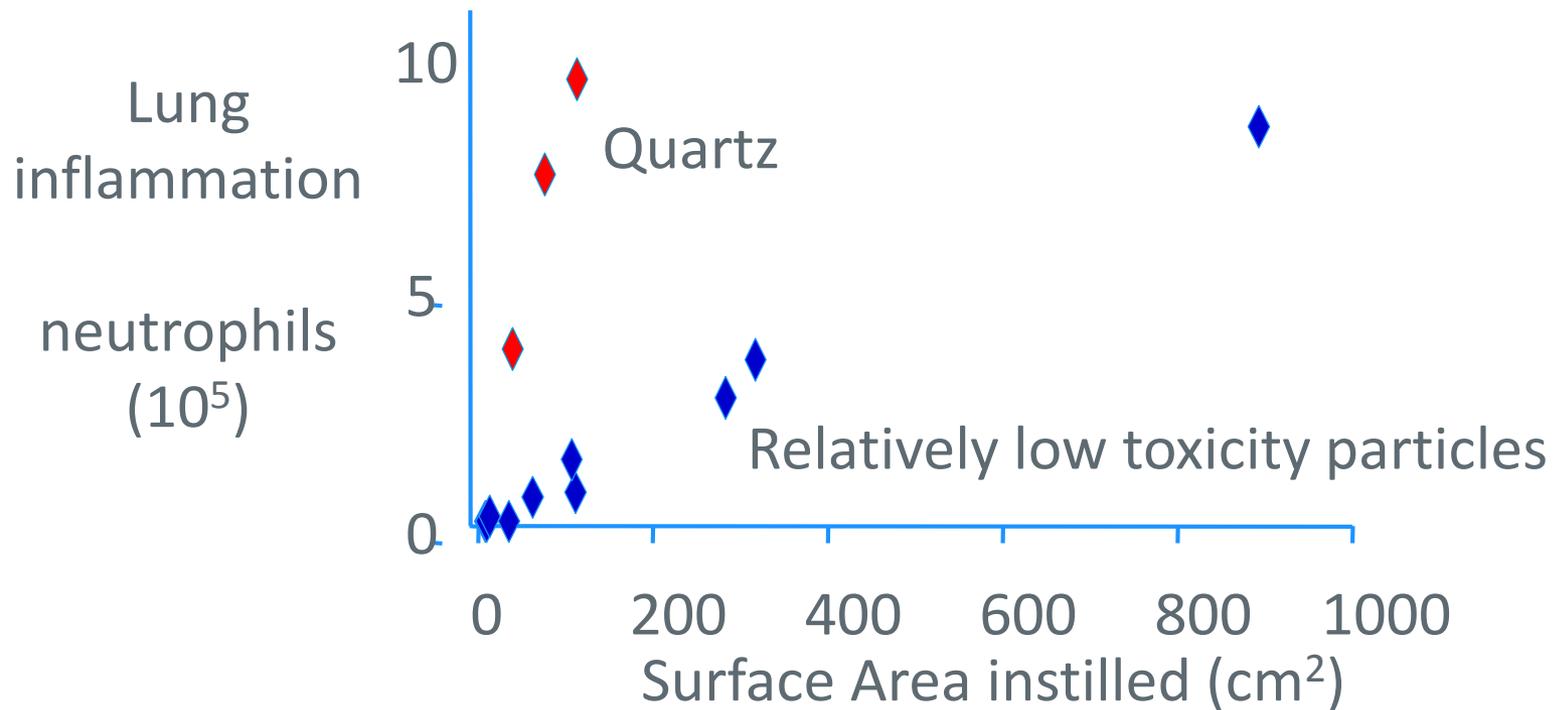


10 nm

286  $\text{m}^2/\text{g}$

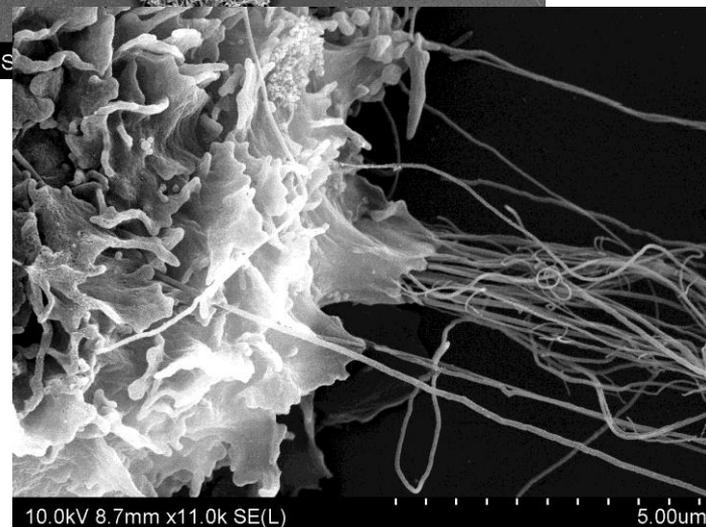
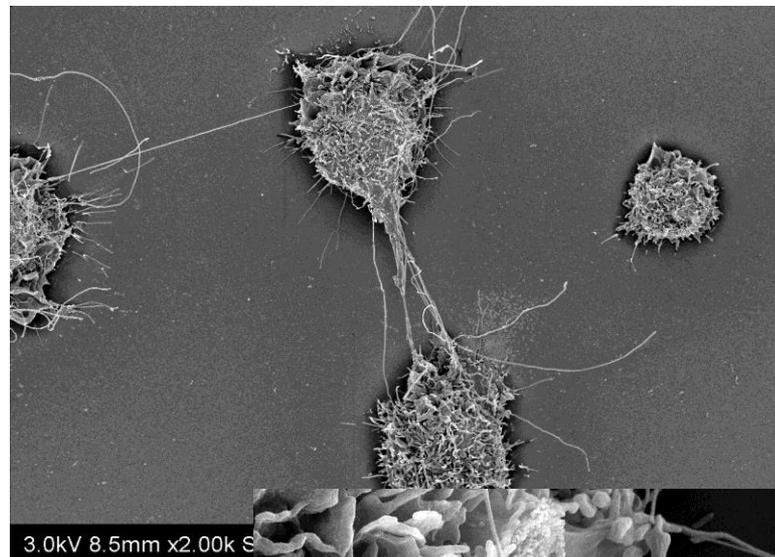
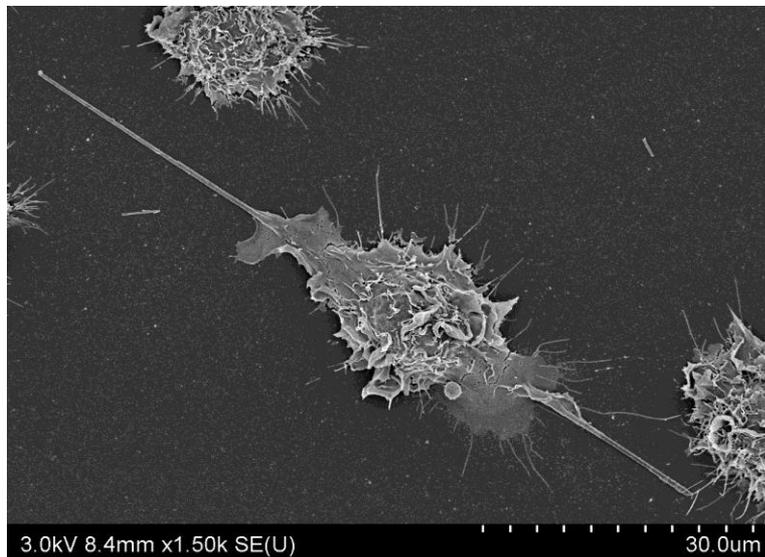
10.5 %

# Surface area and reactivity

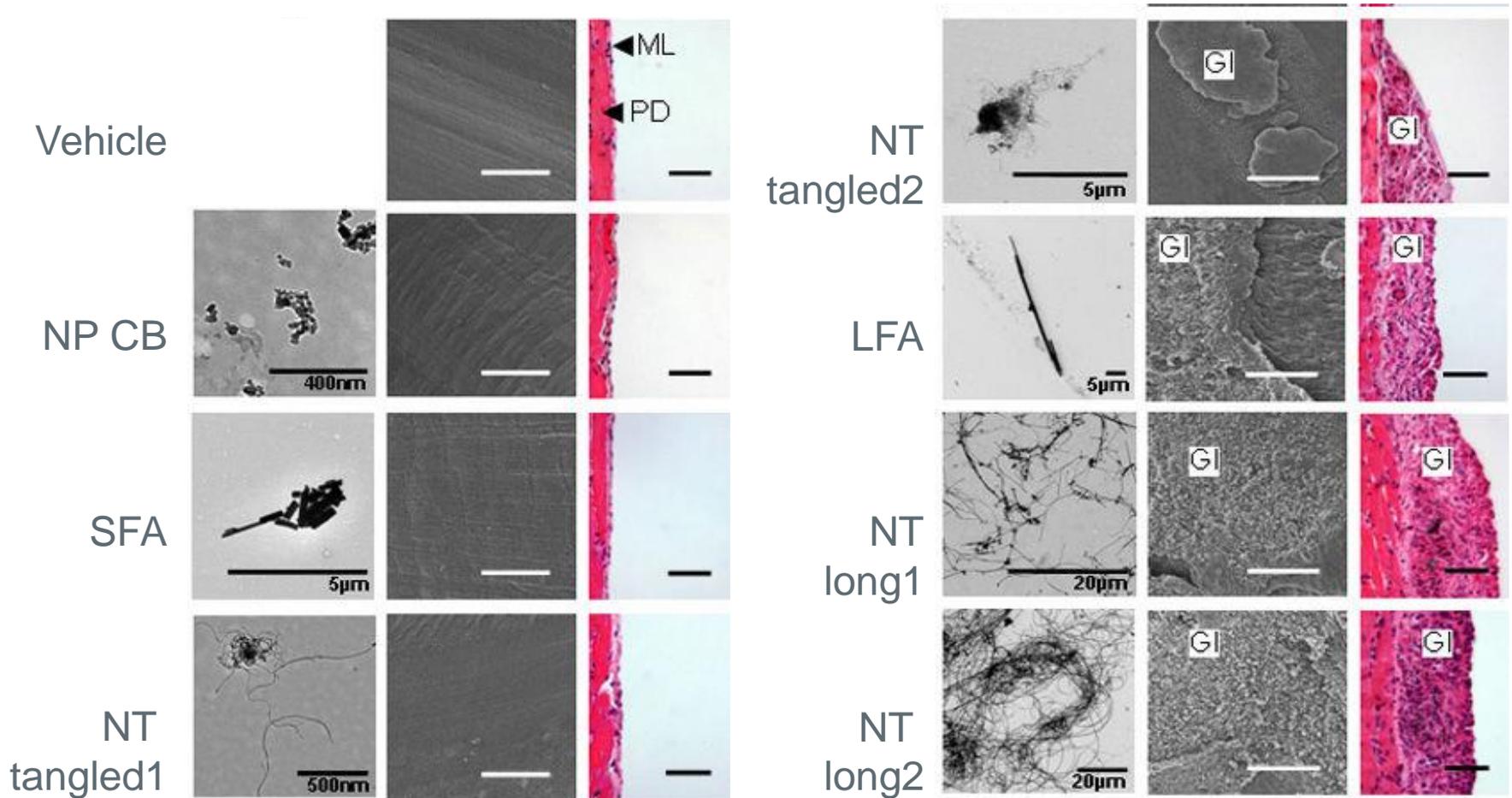


Duffin *et al.* 2002 *Ann Occup Hyg* 46 [suppl 1]; 242-245.

# Carbon nanotube frustrated phagocytosis



# MWCNT induced pathology



# SUN Strategy to focus testing

- **8 NM to be assessed for exposure, hazard and RA**
- **All NM to be incorporated into a formulation (eg. Polypropylene, paint/coating or food)**
- **All formulations to be processed (e.g. cryomilled) to generate a fragmented product**
- **Safety-by-design approach to modulate NM properties and toxicity**

# SUN Strategy to focus testing

- Human and environmental hazard testing
  - Pristine NM and controls (e.g. soluble metals)
  - Fragmented NM/matrix
  - Fragmented matrix
  - S<sub>by</sub>D NMs
- Budget for hazard assessment was limited, therefore needed to be strategic regarding testing.
- Human hazard testing
  - *In vitro*
  - STIS
  - STOS



# SUN Strategy to focus testing

1. What existing information is available (gap analysis)?
2. What are the likely uses of each NM/matrix case study?
3. What exposure scenarios (and therefore routes) are most likely for each case study?
4. Which hazard models best reflect the most likely exposure routes?
5. Identify priority NM for testing
  - Little info existing
  - Likely to be of high use
  - Exposure likely
  - Toxicity likely
  - *In vitro* toxicity observed
6. One material chosen to be tested in all hazard models
7. One *in vitro* model chosen to test all NMs



# SUN Strategy to focus testing

## SUN strategy combines:

- **Flexible Intelligent Testing Strategy**
- **Integrated Testing Strategy**
- **Alternative Testing Strategies**
- **Interpolation (data mining)**
- **Common sense approach**



# Particle prioritisation

	Human Toxicity and Ecotoxicity testing	Inhalation and oral for FP?
CNT-PP car parts	CNT –PP car parts	Not a priority
Org.pig-PP car parts	STIS exists. Food grade. May not be respirable. Tiered approach starting with macrophage.	STOS?
F <sub>2</sub> O <sub>3</sub> -PE products	Too close to organic pigment, therefore not a priority	Not a priority
SiO <sub>2</sub> in food	Pancake feeding study with varied SiO <sub>2</sub> content. Link to nanodefines in terms of lowest detectable dose. Check gap analysis before deciding in vitro strategy.	STOS?
WCCo-lowfriction	Dominated by Co? Simple in vitro screen to compare WC, Co and WCCo. (papermill coating to drums, applied annually by spray)	In vitro only

# Particle prioritisation

CASE STUDIES	Human Toxicity and Ecotoxicity testing	Inhalation and oral for FP?
TiO <sub>2</sub> photocatalytic tiles	<i>Not priority</i>	<i>Not a priority</i>
CuO wood protection	CuO - Go ahead with STIS, STOS, macrophage, hepatocyte. Dermal information in literature.	STIS and STOS for pristine, and either FP or SbyD
CNT-epoxy marine coating	CNT epoxy marine coating – STIS and 90 day and feeding studies exist for CNT. Require epoxy control. Use a tiered approach with macrophages as first screen.	STIS of FP.  STOS of FP.

# SUN

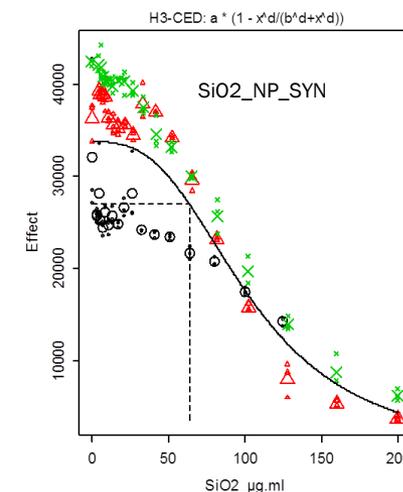
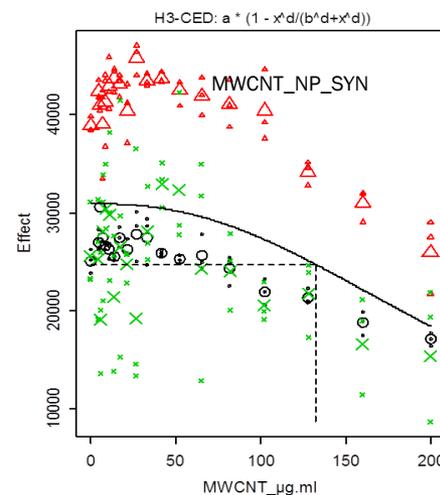
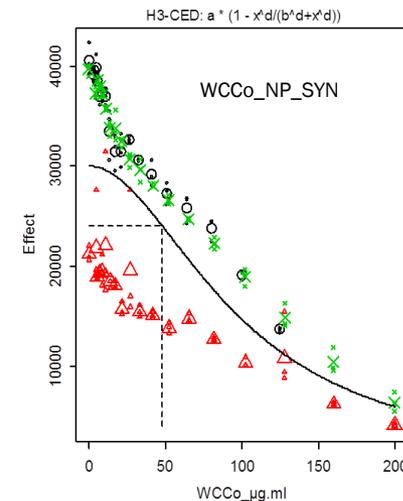
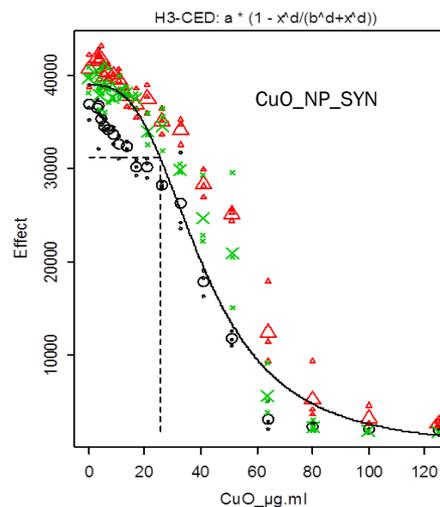
## In vitro screening Macrophage and Hepatocyte models

Heriot-Watt University  
Karolinska Institute



# *In vitro* macrophage responses

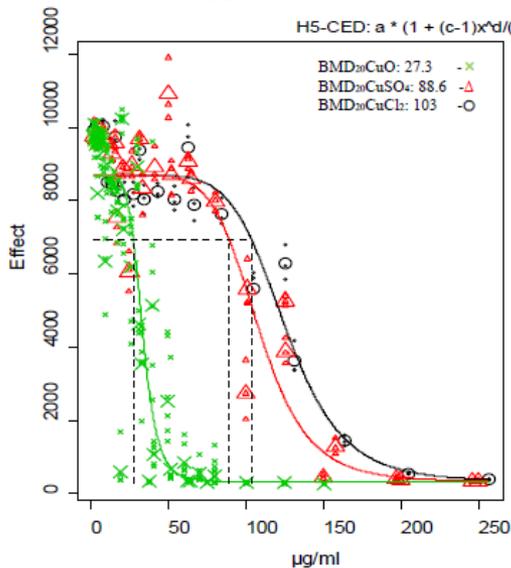
- RAW264.7 macrophages
  - Alamar blue assay
  - BMD20 and EC50 by PROAST 38.9
    - Tested concentrations: 0-125  $\mu\text{g/ml}$
  - Cytokine release at concentrations of 0.5xBMD20, 1xBMD20 and 2xBMD20
    - TNF- $\alpha$ , IL-6, IL-1 $\beta$ , IL-10, IL-12, MCP-1 (MCAF; CCL2), MIP-1 $\beta$  (CCL3), MIP-1 $\alpha$  (CCL4), RANTES (CCL5), KC (IL-8, CXCL8).



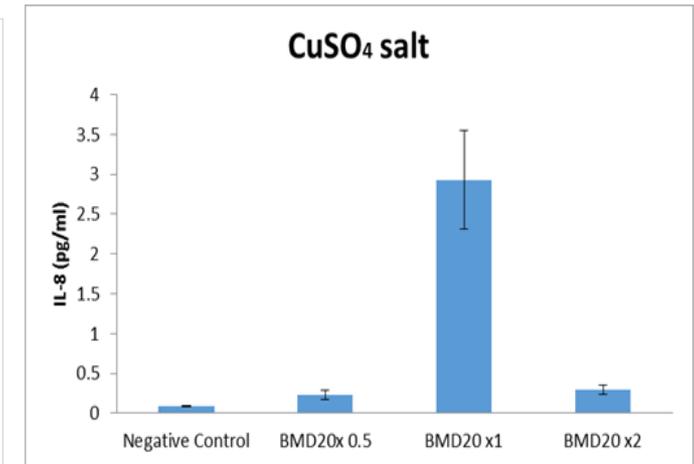
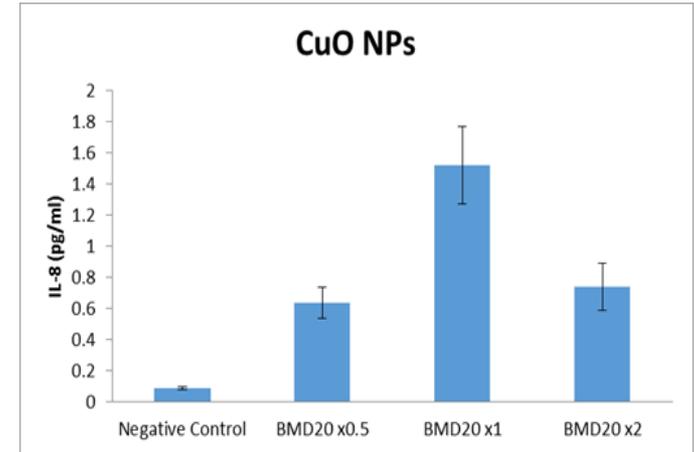
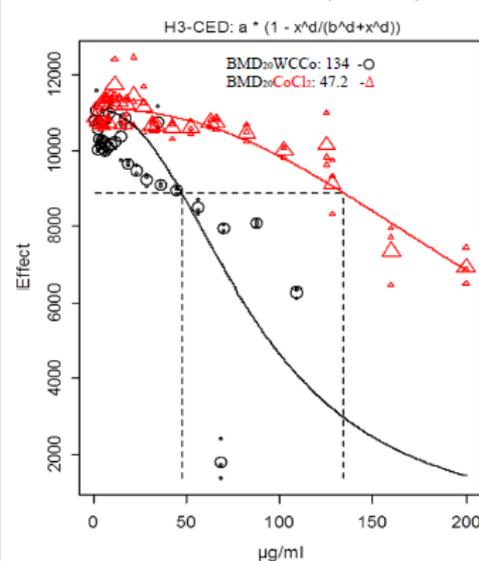
# In vitro hepatocyte responses

- **C3A hepatocytes**
  - Alamar blue assay
  - BMD20 and EC50 by PROAST 38.9
    - Tested concentrations: 0-125  $\mu\text{g/ml}$
  - Cytokine release at concentrations of 0.5xBMD20, 1xBMD20 and 2xBMD20
    - TNF- $\alpha$ , IL-6, IL-1 $\beta$ , IL-10, IL-12, MCP-1 (MCAF; CCL2), MIP-1 $\beta$  (CCL3), MIP-1 $\alpha$  (CCL4), RANTES (CCL5), KC (IL-8, CXCL8).

CuO NPs and Copper salts (BMD20)



WCCo NPs and CoCl<sub>2</sub> salt (BMD20)



## *In vitro* macrophage responses

NOAA	Time point	BMD <sub>20</sub> (µg/ml)	EC <sub>50</sub> (µg/ml)
CuO_1_NP_SYN	24h	25.50	40.97
CuCl <sub>2</sub>	24h	55.60	63.91
WCCo_1_NP_SYN	24h	48.10	98.08
CoCl <sub>2</sub>	24h	32.70	58.54
MWCNT_1_NP_SYN	24h	132	>200
MWCNT_1_NP_SYN	48h	>200	>200
Pigment_1_NP_SYN	24h	123	>125
Fe2O3_1_NP_SYN	24h	>125	>125
SiO2_1_NP_SYN	24h	63.70	103.3
TiO2_1_SOL_MPG_SY N	24h	>200	>200
TiO2_2_SOL_HCl_SYN	24h	>200	>200

## *In vitro* hepatocyte responses

NOAA	Time point	BMD <sub>20</sub> (µg/ml)	EC <sub>50</sub> (µg/ml)
CuO_1_NP_SYN	24h	25.8	32.54
CuSO <sub>4</sub>	24h	88.6	112
WCCo_1_NP_SYN	24h	157	235
CoCl <sub>2</sub>	24h	47.2	98
Pigment_1_NP_SYN	24h	240	276
SiO2_1_NP_SYN	24h	229	273

# CuO nanoparticle – Industrial collaboration

## Tiered strategy

Stage 1: Identify existing data

Stage 2: Prioritise NM

lacking data,  
likely to be toxicity due to chemistry,  
potential for exposure and  
high volume of use

Stage 3: Assess toxicity *in vitro* with  
macrophages and hepatocytes, compared to  
other nanomaterials and relevant salts.

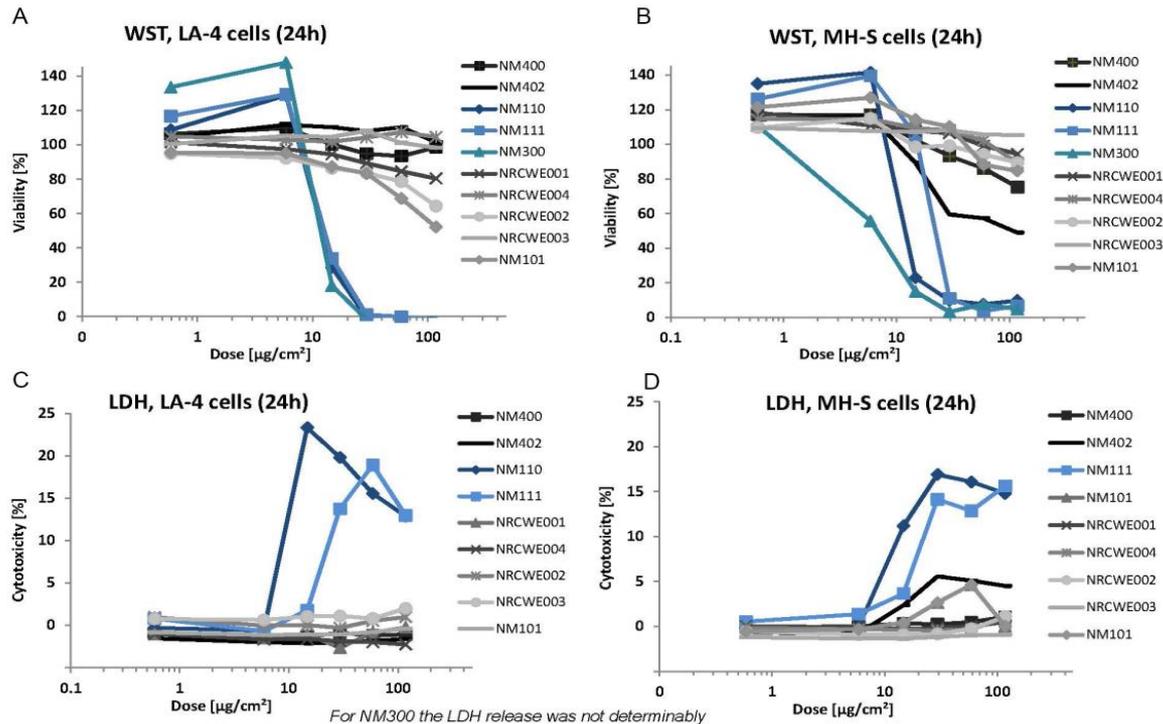
Stage 4. Use *in vitro* data to design dose  
range for rodent inhalation study



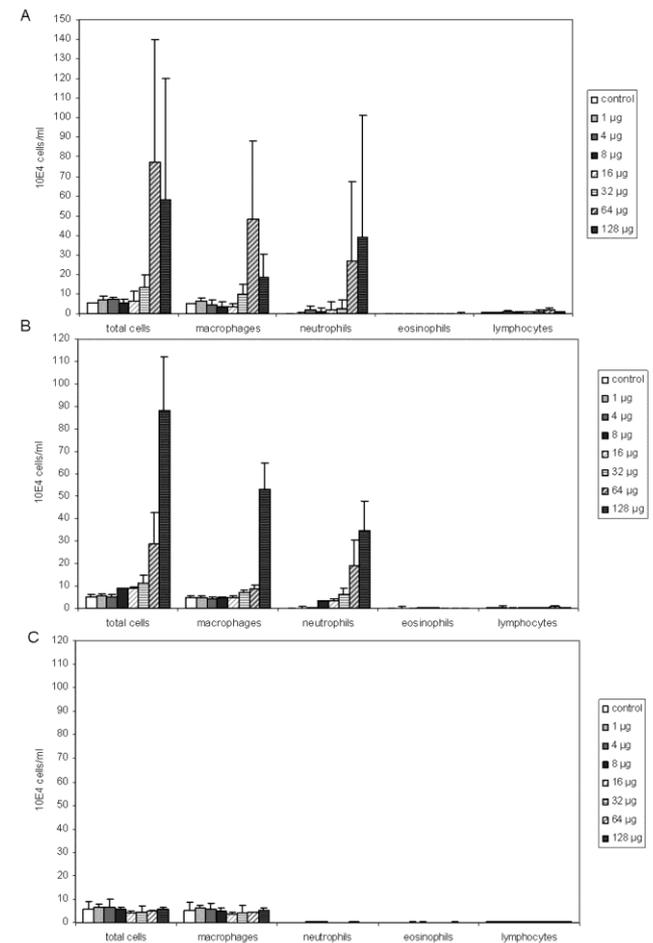
CuO

# ENPRA data

## *In vitro*



## *In vivo*



Used *in vitro* and *in vivo* data from ENPRA combined with *in vitro* data from SUN to decide upon *in vivo* dose range

# SUN

## Short Term Inhalation Studies

### Nano CuO pristine and S<sub>by</sub>D

### candidates

RIVM

# Study protocol STIS

Klein et al., Arch Toxicol (2012) 86: 1137-1151

DAY	-3	-2	-1	1	2	3	4	5	6	7	8-27	28	29
				EXP	EXP	EXP	EXP	EXP	EXP	REC	REC	REC	
TOX	train	train	train	x	x	x	x	x	B+H			B+H	
KIN		train	train	train	x	x	x	x	x	O			O

TOX - toxicological assessment

KIN - bio-kinetics assessment

Train - training of rats in nose-only tubes

EXP - exposure to CuO

REC - recovery period

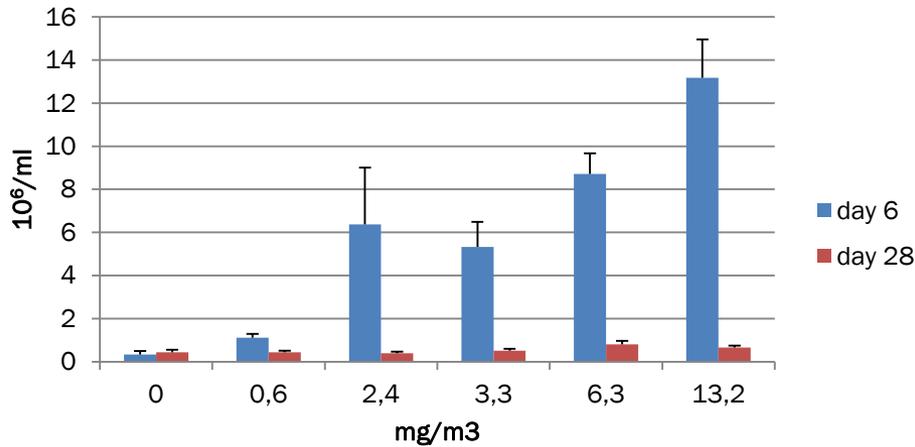
B - BALF

H - Histology

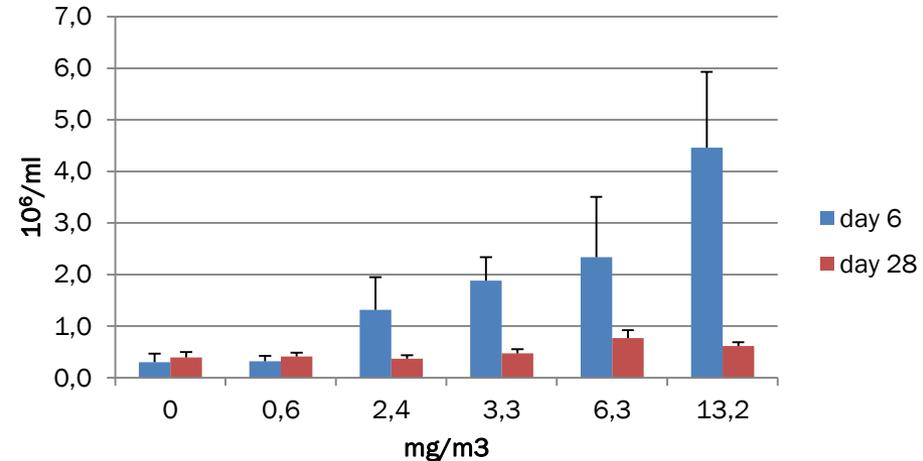
O - Organs harvested for Cu content

# Inhalation hazard of Pristine CuO NM

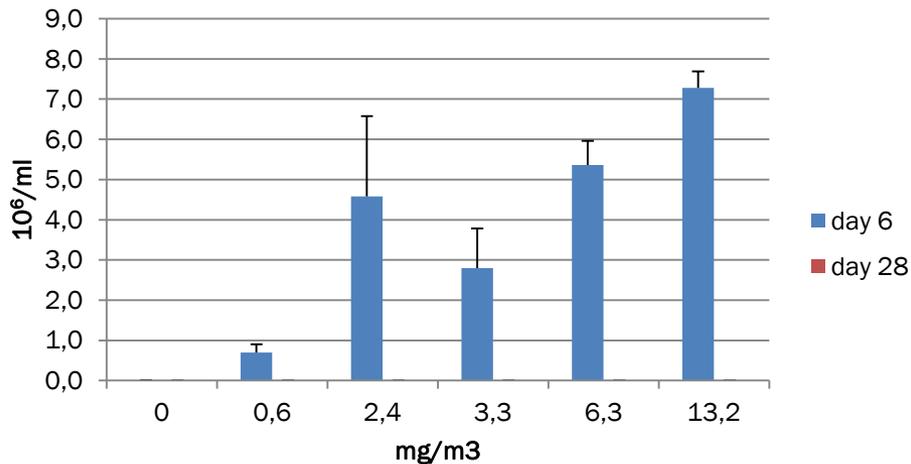
## Total cell number BALF



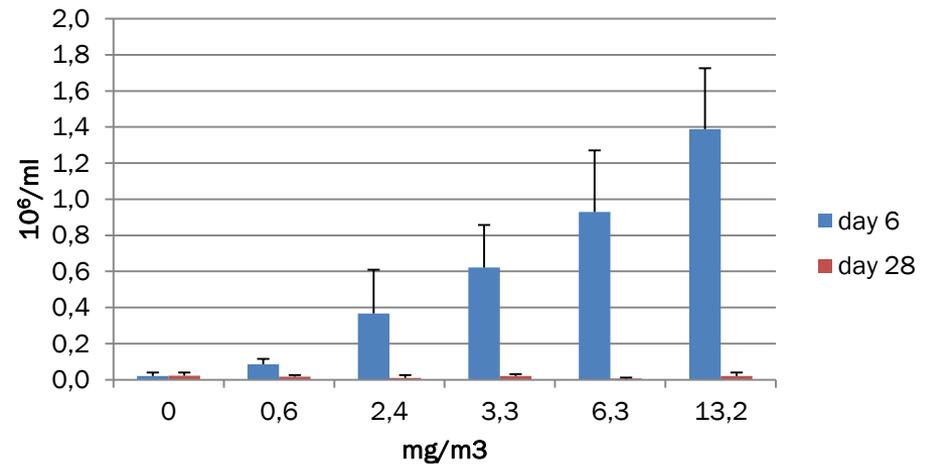
## # macrophages BALF



## # neutrophils BALF



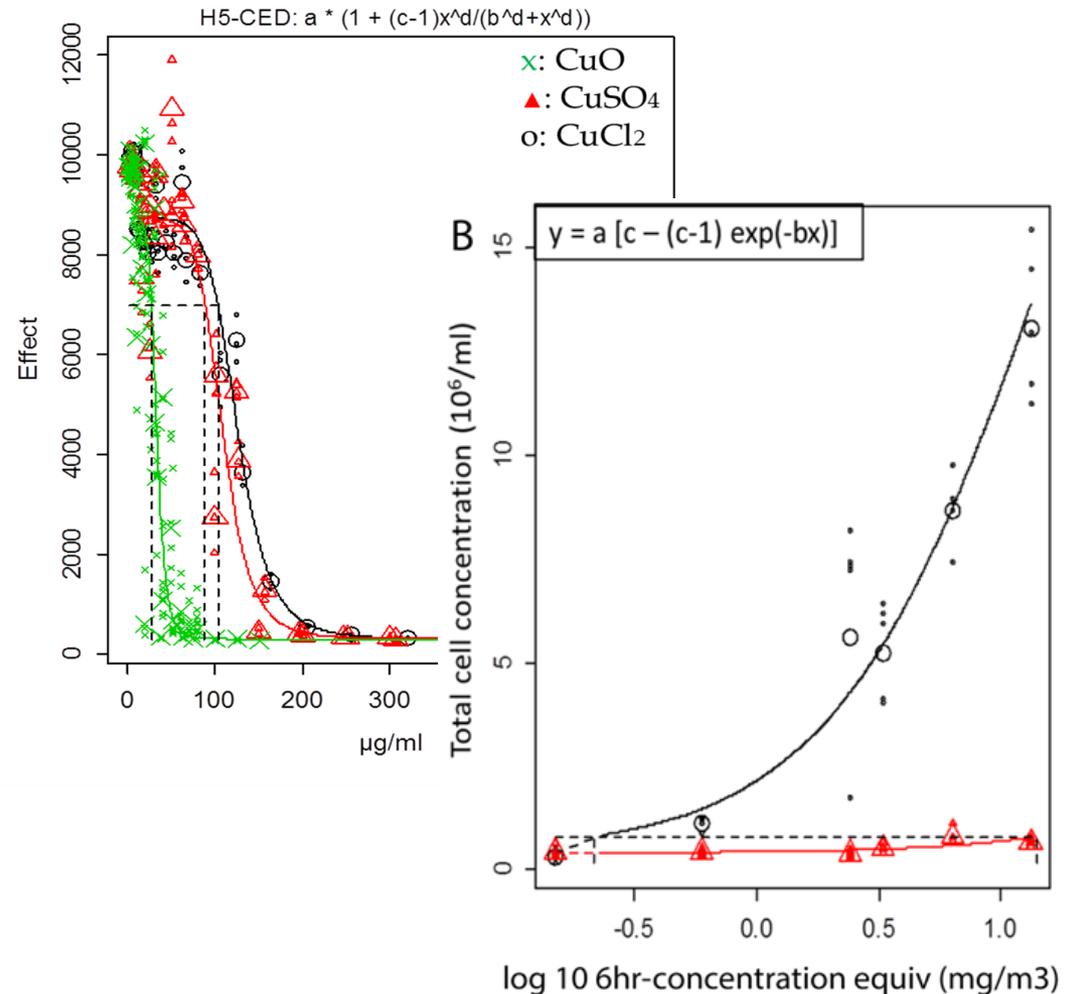
## # lymphocytes BALF



## Tiered strategy results pristine CuO

- Relatively toxic *in vitro* compared to other NM and Cu salts
- Produced inflammation in lung at day 6
- Inflammation largely resolved by day 28, but small elevation of macrophages remains

CuO NPs and Copper salts (BMD20)



# CuO nanoparticle – in polymer matrix

- Within product, CuO NPs are embedded in a polymer matrix
- Impossible to generate fragmented particles at room temperature.
- Only possible to generate fragmented particles in very cold conditions while wet.
- This suggests exposure to fragmented particles containing CuO NPs is likely to be low or unlikely
- Therefore risk to consumers/workers once incorporated should be low
- Eventually generated FP which are awaiting testing.
  - Requires inverted culture due to low density of polymer

# STIS modified CuO NP

## Surface coatings

- Ascorbate (ASC, negative) coating suggested by WP6
- Also provided citrate (CIT),
- polyethyleneimine (PEI)
- polyvinylpyrrolidone (PVP)

Details	Label	BMD <sub>20</sub>
Pristine	CuO	33.2
Buffer	101	18.7
Citrate	102	25.7
PVP	103	16.6
PEI	104	20.4
Ascorbate	105	64.8



Phosphate buffer

Surface modification

*In vitro* screening identified

PEI-capped > uncapped NPs > PVP-capped > CIT-capped > ASC-capped

PEI and ASC tested *in vivo* via STIS

# STIS modified CuO NP

## Day 6

- Both induced dose dependent inflammation at day 6
- Tissue cytokine protein analysis at day 6
  - PEI CuO NP increased IL1 $\alpha$ , IL1 $\beta$  and MIP2
  - ASC CuO NP did not increase IL1 $\alpha$  or IL1 $\beta$ , but did increase MIP2

## Day 28

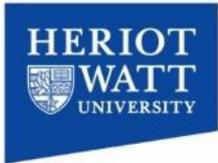
- PEI – residue of inflammatory cells at day 28 (similar to pristine)
- ASC – no inflammatory cells at day 28

## Conclusion

- ASC coating appears to dampen CuO NP induced inflammation in lung

- A range of characteristics influence toxic potential
- Gap analysis combined with consideration of:
  - NM uses
  - Exposure scenarios and incidence
  - *In vitro* toxicology (using existing protocols)
- Data mining, ITS, ATS, common sense
- In vitro models (macrophage and hepatocytes) generated ranking data and refinement data to inform *in vivo* studies
- STIS
  - CuO pristine, dose dependent inflammation at 6 days, largely resolved at day 28.
  - CuO ascorbate modification decreased inflammation

- Toxicity information can be used to prioritise decision making for product use and for design
- Intelligent testing strategies including  
Alternative testing strategies are useful to improve efficiency and ethics of NM safety assessments



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