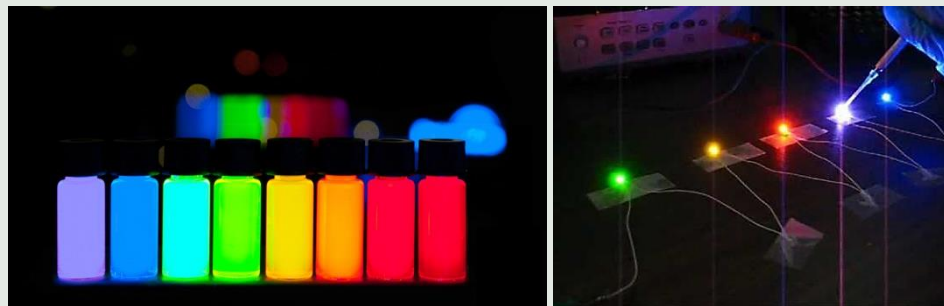


International Conference on Health & Safety issues related to
 Nanomaterials, Nanosafe 2016
 November 7-10, 2016 – Grenoble, France

Potential transformation processes of quantum dots and their colloidal stability in complex aqueous matrices



QDs in LEDs, ©
 Plasma Chem GmbH

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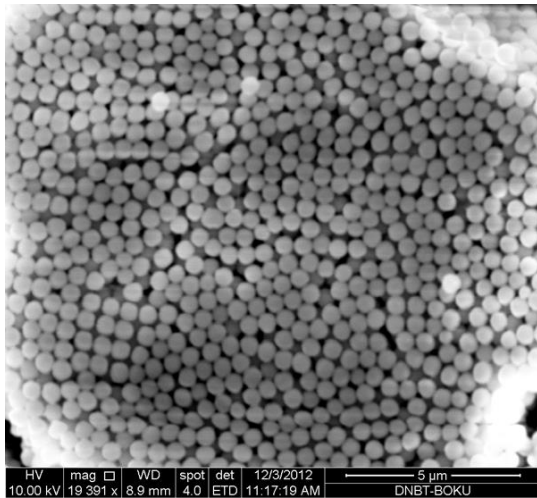
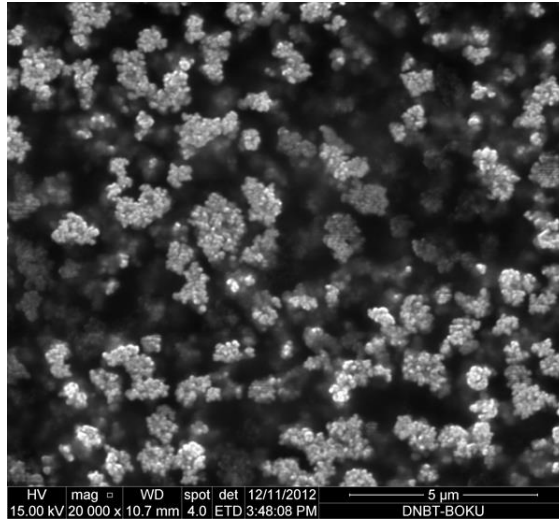
² Department of Nanobiotechnology (DNBT-BOKU), Institute for Synthetic bioarchitectures



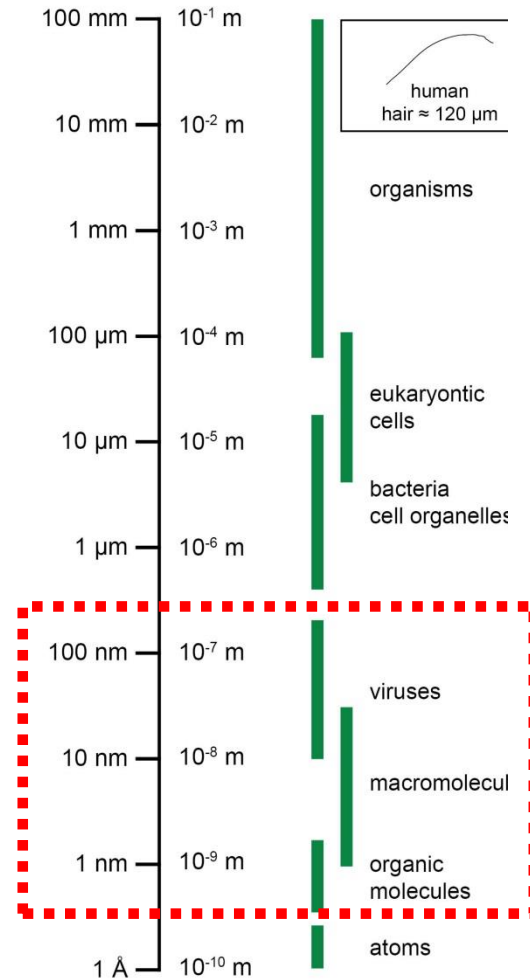
³ Department of Chemistry (DCH), Division of Analytical Chemistry (DCH/AC)

Engineered nanomaterials (ENMs) vs. their bulk counterparts

Fig. Nano-SiO₂ dispersed in leachates (right) and in MeOH (below), © DNBT-BOKU



ENMs interact with naturally-occurring substances leading to transformations



Forces

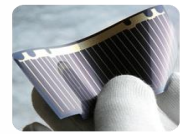
Gravity

Brownian motion

Motivation of this study



Sony Bravia™
XBR 4K TV



Thin Film
Solar Cell, ©
SONO TEK

- QDs are already available in **display technologies (QLEDs)** and other electronics due to their outstanding optical properties
- **Little information** at the point-of-manufacturing and, in particular, at the end of their useful life
- Many material flow models indicate that ENMs **accumulate in waste streams and landfills**
- Very little is known about **ENM transport and fate during landfilling**

Import = 40837 kg/a

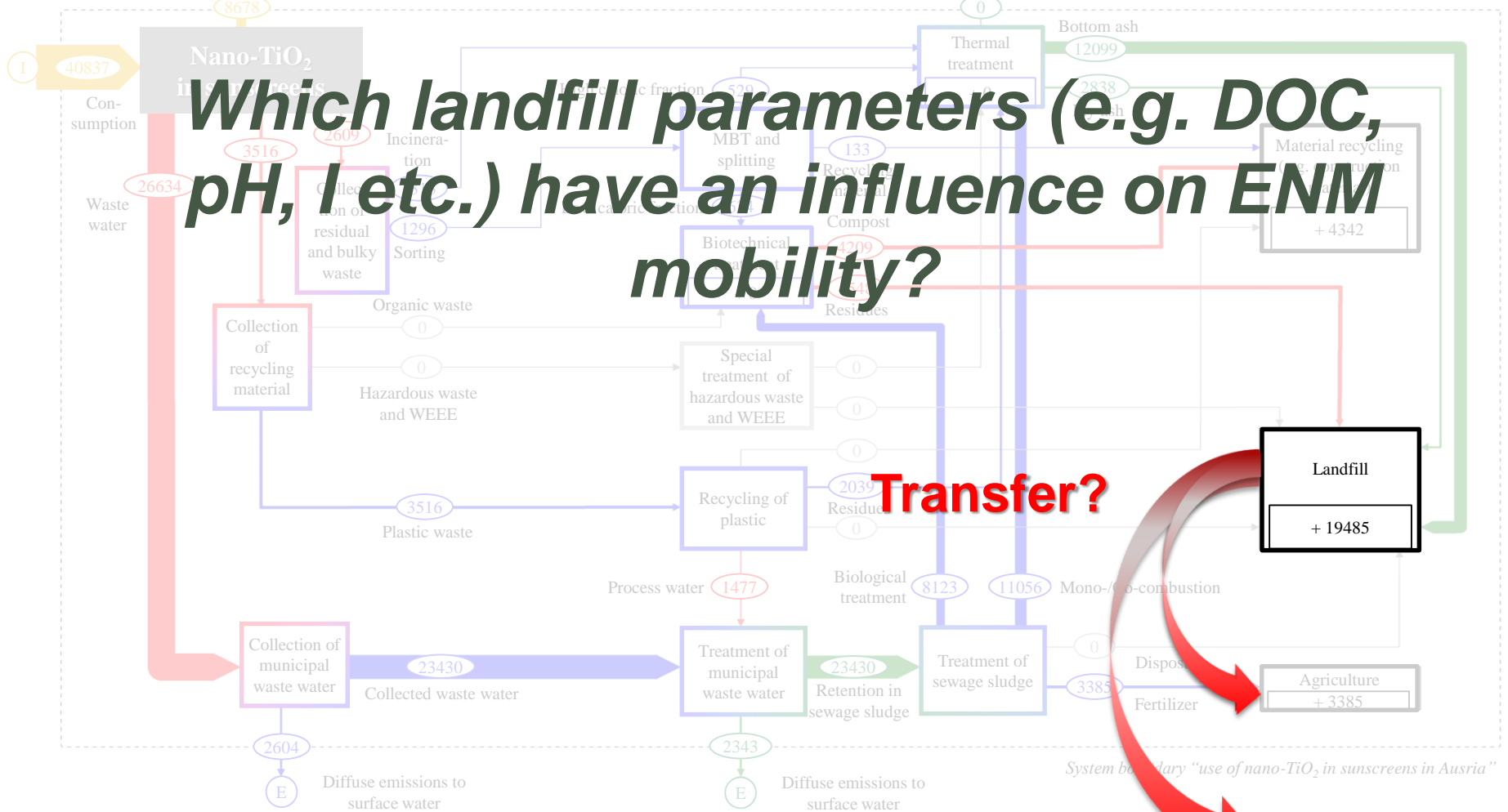
Change in stock = 27212 kg/a

Export = 13625 kg/a

Are landfills environmental sinks?

Which landfill parameters (e.g. DOC, pH, I etc.) have an influence on ENM mobility?

Transfer?



System boundary "use of nano-TiO₂ in sunscreens in Austria"

→ Own assumption, product-specific

→ Assumption based on expert opinion

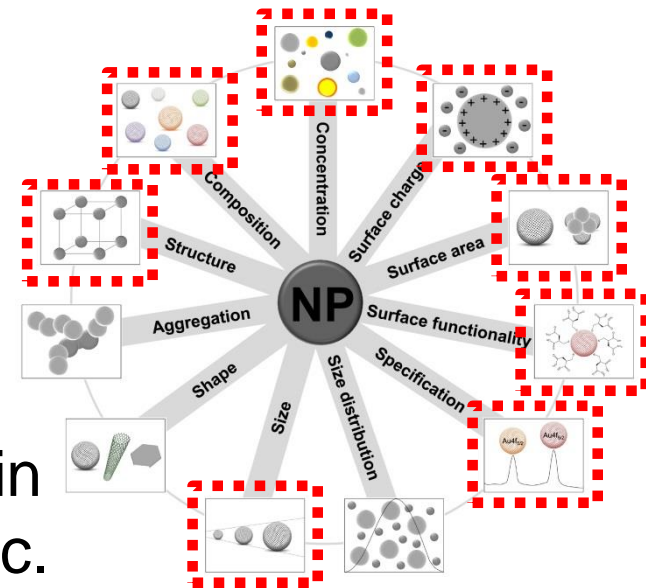
How can we differentiate ENMs from naturally-occurring nanomaterials?

→ Nano-specific assumption based on peer-reviewed studies (large or small scale experiments)

→ Assumption based on waste management data ("stochastic approach", not nano-specific)

Are quantum dots (QDs) applicable nanoscale tracer materials?

- **Hypothesis: Nanotracers**, where size, shape, and surface properties can be controlled. QDs are applicable **model nanoparticles** to distinctively trace their **transport and fate** in complex waste matrices
- **Worst case assumptions:**
 - Persistent ENMs and high mobility
 - No natural counterparts
 - Already present/dispersed in five different landfill leachates that vary in DOC-content, pH, ionic strengths etc.



Materials and methods: synthesis and surface modification

- Two different types of QDs:
 - *N*-Acetyl-*L*-cysteine (NAC) capped CdTe/CdS are **hydrophilic** (“**NAC-QDs**”)
 - Trioctylphosphine/Trioctylphosphine oxide (TOP/TOPO) capped CdSe/ZnS are hydrophobic → steric stabilization via amphiphilic **non-ionic surfactant** (“**Brij[®]58-QDs**”)

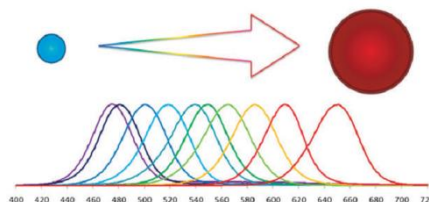
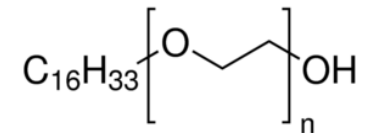
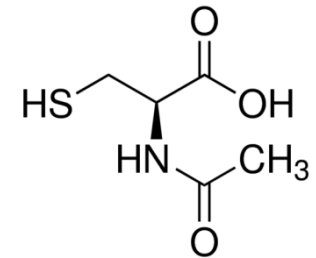


Fig.: Size-dependent colour of QDs and their emission spectra in Zrazhevskiy P., 2009: DOI: 10.1039/b915139g

Materials and methods: characterization and QD-spiking

- Characterization methods:
 - TEM → geometric diameter
 - DLS → **hydrodynamic diameter**
 - UV/VIS & fluorescence spectroscopy → **spectroscopic fingerprints** (first excitonic and emission peak)
 - HR-ICP-MS for trace metal concentration in leachates
- Spiking method:
 - QDs in powder form → preparation of stock solution (1 mg/mL) → dispersion of aliquots in 5 x 3 leachate samples with fixed concentrations

Potential transformation processes are directly related to QD's optical properties

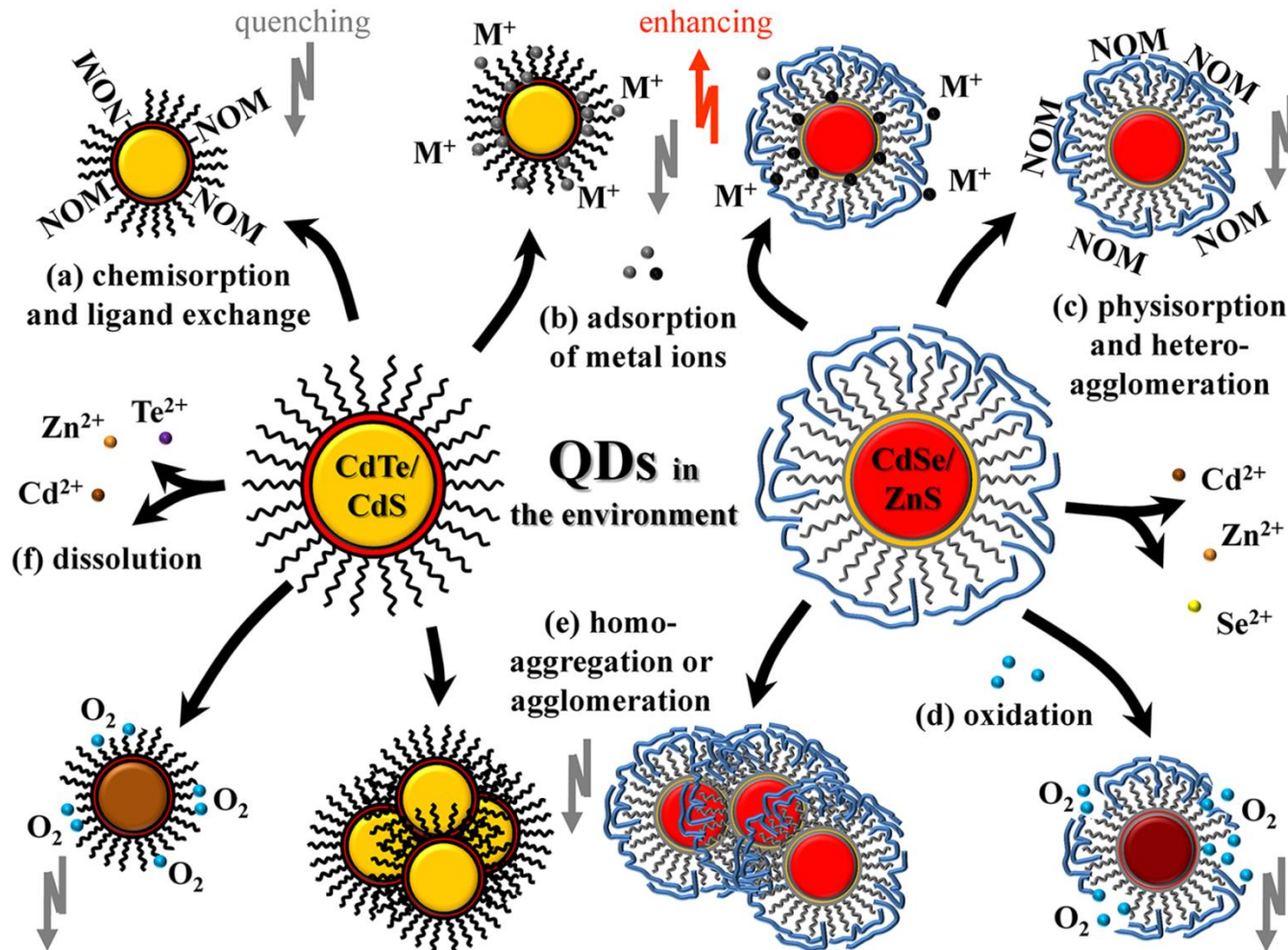


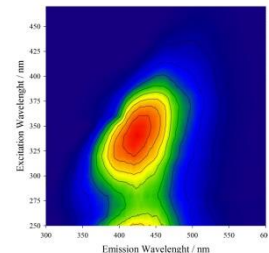
Fig.: Part et al. XXXX, The long-term fate of two differently, sterically stabilized quantum dots in landfill leachates and their potential transformation processes, *submitted and currently under review*

Results: background characterization

| Sample | | Leachate 1 | Leachate 2 | Leachate 3 | Leachate 4 |
|-----------------------------------|-------------------------------|------------|-------------|------------|--------------|
| pH (initial) | | 8.3 | 8.0 | 7.8 | 8.3 |
| pH after dilution ^(a) | | 8.6 | 8.5 | 8.7 | 8.6 |
| pH after dilution & QD-spiking | | 8.6 | 8.5 | 8.7 | 8.6 |
| electrical conductivity (20°C) | [mS cm ⁻¹] | 14.9 | 20.3 | 2.1 | 14.8 |
| DOC (initial) | [mg L ⁻¹] | 620 ± 0.8% | 1085 ± 0.5% | 66 ± 5.0% | 913 ± 4.4% |
| DOC after dilution ^(a) | [mg L ⁻¹] | 62 ± 0.8% | 109 ± 0.5% | 7 ± 5.0% | 91 ± 4.4% |
| NH ₄ ⁺ | [mg L ⁻¹] | 454 | 1180 | 82 | 827 |
| NO ₃ ⁻ | [mg L ⁻¹] | 75 | < LOD | < LOD | 20 |
| SO ₄ ²⁻ | [mg L ⁻¹] | 74 | 24 | 13 | 56 |
| Fe ⁵⁶ | [μg L ⁻¹] | 970 ± 5% | 4000 ± 5% | < LOD | not measured |
| Cu ⁶⁵ | initial [μg L ⁻¹] | 42 ± 5% | < LOD | < LOD | not measured |
| Zn ⁶⁶ | [μg L ⁻¹] | 72 ± 5% | < LOD | 41 ± 5% | not measured |
| Se ⁸² | [μg L ⁻¹] | 87 ± 5% | 74 ± 5% | 21 ± 5% | not measured |
| Cd ¹¹¹ | [μg L ⁻¹] | 4.0 ± 5% | 3.0 ± 5% | 3.0 ± 5% | not measured |
| Te ¹²⁵ | [μg L ⁻¹] | < LOD | 5.0 ± 5% | < LOD | not measured |

Table: Part et al. XXXX, The long-term fate of two differently, sterically stabilized quantum dots in landfill leachates and their potential transformation processes, *submitted and currently under review*

- Emission-excitation-matrix spectroscopy measurements showed that all leachates predominantly contained **fulvic** and **humic acids**



Results: assessment of long-term stability and behavior of “Brij[®]58-QDs”

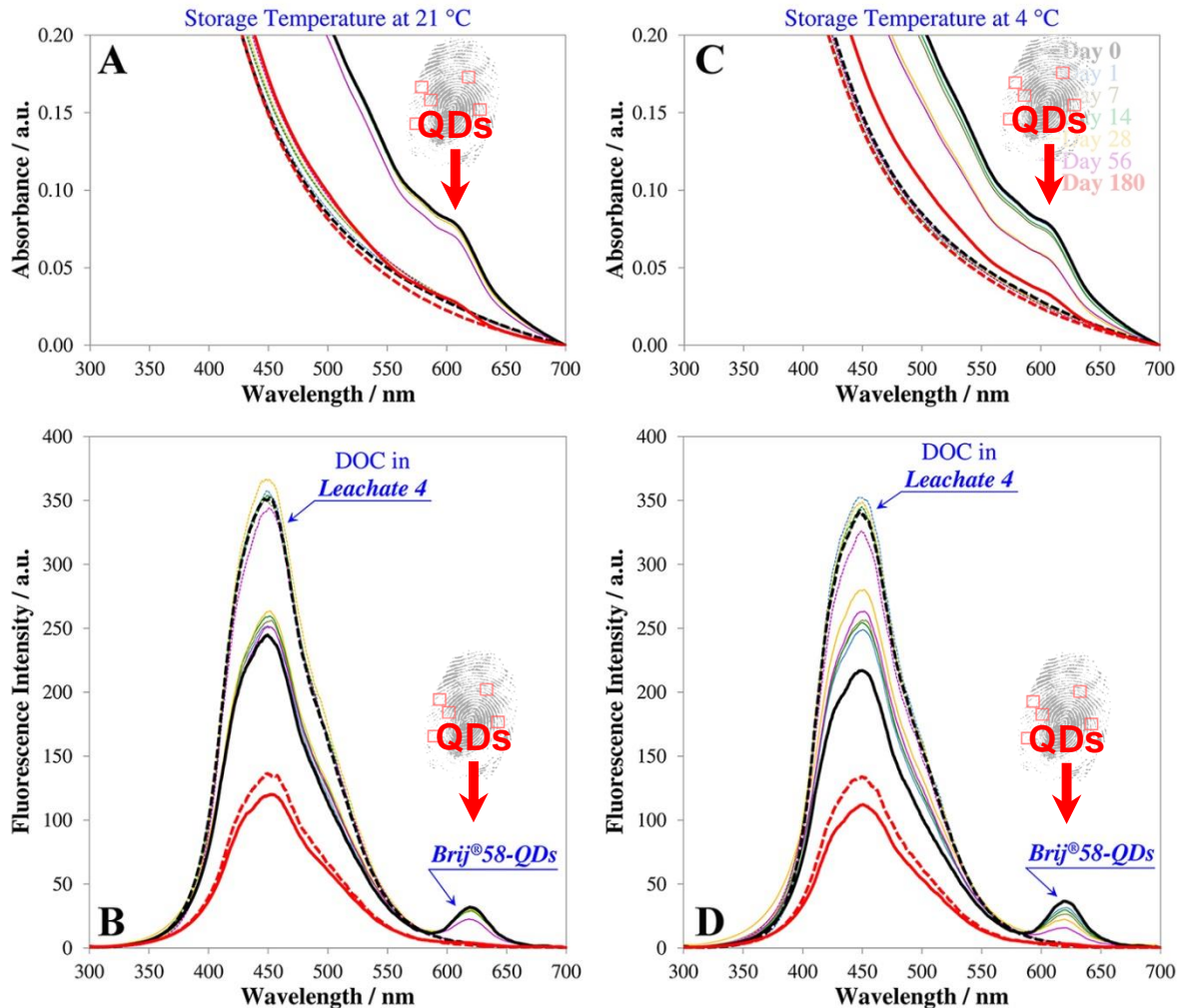


Fig: Part et al. XXXX, The long-term fate..., submitted and currently under review

- Spectroscopic fingerprints were detectable for at least 180 days → indicate **high colloidal stability and mobility**
- PEG coating prevent **physisorption** of DOC for 56 days → **partial sedimentation**



Results: assessment of long-term stability and behavior of “NAC-QDs”

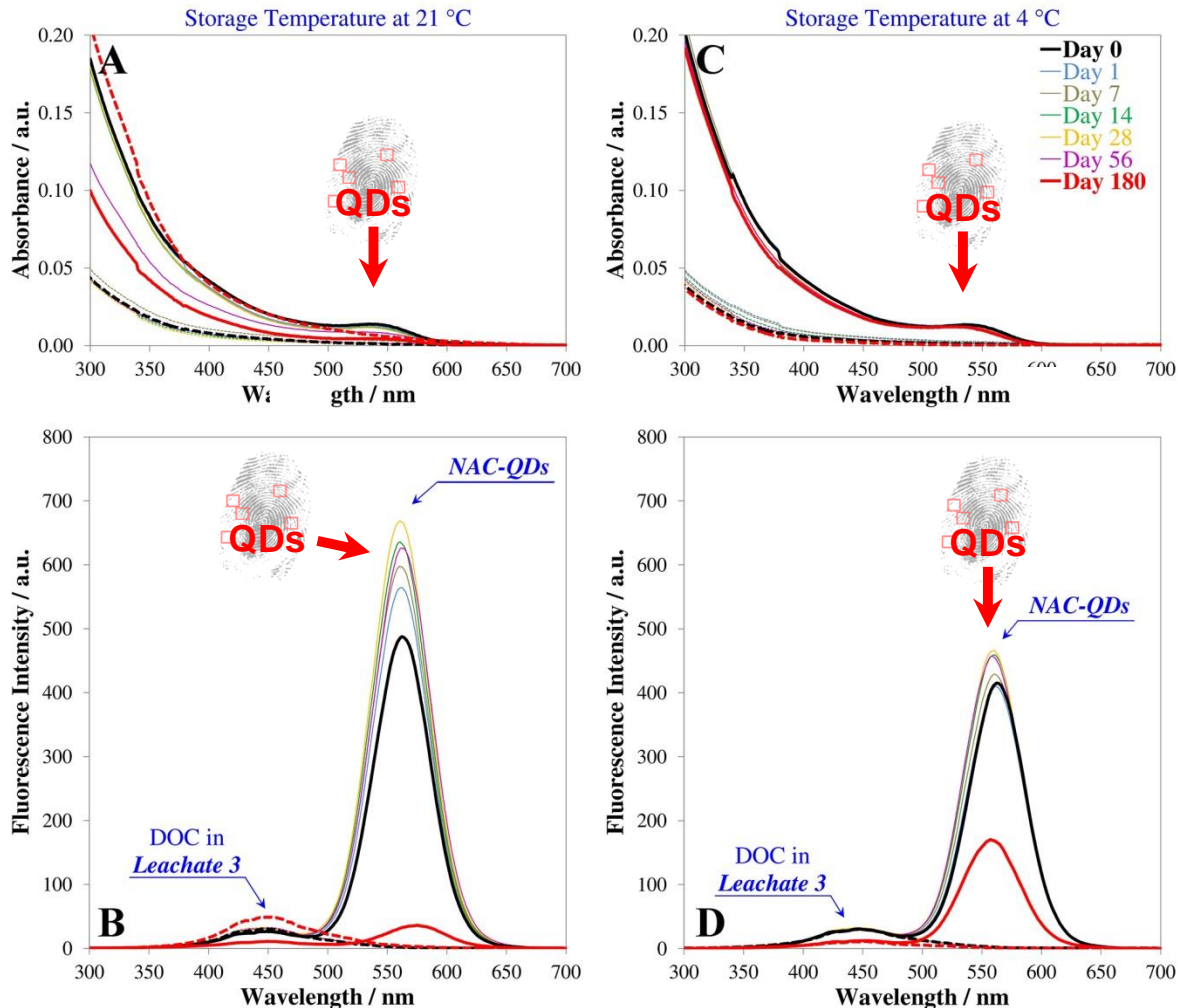


Fig: Part et al. XXXX, The long-term fate..., submitted and currently under review

- At low DOC: fingerprints were traceable for 6 months → high colloidal stability
- adsorption of dissolved metal ions (i.e. Cd^{2+} , Zn^{2+}) → further **surface passivation** (kinetics mainly depend on temperature)



Results: assessment of long-term stability and behavior of “NAC-QDs”

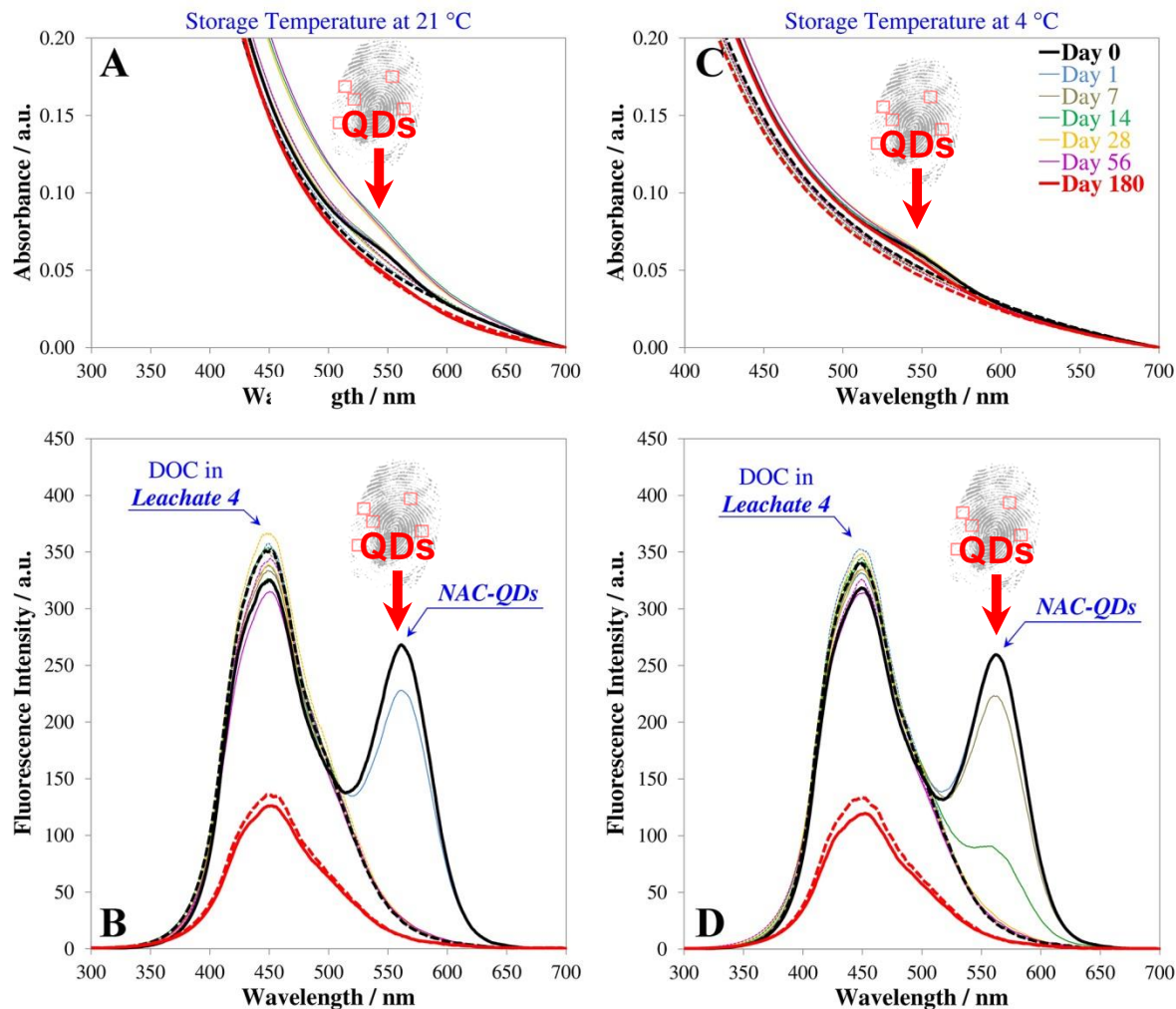


Fig: Part et al. XXXX, The long-term fate..., submitted and currently under review

- At high DOC: fluorescence peak disappeared after 14 days, but **first excitonic peak** not
- **chemisorption and ligand exchange** of NAC for fulvic/humic acids → further **steric stabilization**



Conclusions and recommendations

- **Sterically stabilized ENMs** can be colloiddally stable and **very mobile under prevailing environmental conditions** depending on their surface properties/densities, temperature, residence time, DOC- and dissolved metal content (pH in leachates retained constant → no significant influence)
- QDs **with low Mw capping agents** (e.g. *NAC*) mainly underwent **chemical transformation**
- QDs **with high Mw capping agents** (e.g. *Brij[®]58*) mainly underwent **physisorption processes**
- **Waste incineration** is highly recommended to decrease their colloidal stability in liquid wastes

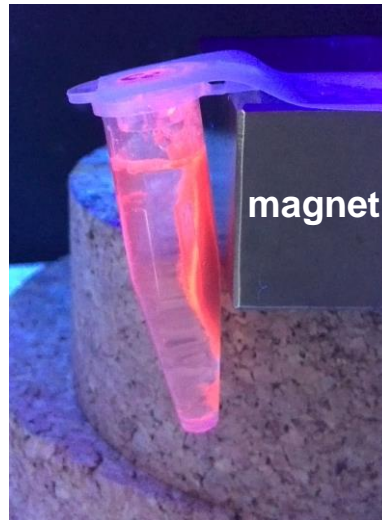
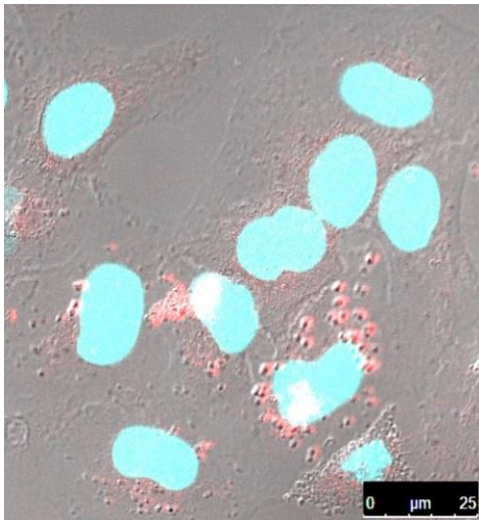
Conclusions and outlook

- **Fluorescent ENMs or QDs are applicable nanotracers** and are going to be used for further transport and toxicity studies
- **Spectroscopic fingerprints** allow distinctive tracing and differentiation from naturally-occurring nanoscale substances
- QDs can be differently **surface modified** (e.g. using ZnO, SiO₂ or TiO₂)
- QDs **can mimic other nanoparticles** to a certain extent regarding size, density, shape and surface properties

Thank you for your attention!

For further questions, you can also send me an email:

florian.part@boku.ac.at



Figures: further fate and toxicity studies on, for example, magnetic and ZnO-coated QDs are currently conducted at the BOKU; left: CLSM image of PEGylated QDs dispersed in cell line A549; middle left: Fe doped QDs; middle right: ZnO coated, Cd-free QDs; right: synthesis of organo-soluble QDs used as photostable, inorganic dye

Thanks to all co- authors!

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Cite this: *RSC Adv.*, 2016, 6, 27068

Preparation of water-soluble, PEGylated, mixed-dispersant quantum dots, with a preserved photoluminescence quantum yield[†]

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Waste Management 43 (2015) 407–420



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Current limitations and challenges in nanowaste detection, characterisation and monitoring

Florian Part^a, Gudrun Zecha^a, Tim Causon^b, Eva-Kathrin Sinner^c, Marion Huber-Humer^{a,*}



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Environmental Pollution 214 (2016) 795–805

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Editorial

Engineered nanomaterials in waste streams

Traceability of fluorescent engineered nanomaterials and their fate in complex liquid waste matrices[☆]



Additional information: QD-sizes

| QD-species | NAC-QDs in water | TOP/TOPO-QDs in chloroform | Brij@58-QDs in water |
|--|---------------------|-------------------------------|-------------------------|
| Geometric radii [nm] | | | |
| R_{Core}^a | ~ 1.2 | ~ 2.0 | ~ 2.0 |
| R_{Shell}^b | ~ 0.6 | ~ 0.6 | ~ 0.6 |
| R_{Ligand}^c | ~ 0.9 | ~ 1.0 | ~ 1.0 |
| $R_{\text{Surfactant}}$ | - | - | ~ 7.0 |
| R_{Total} | ~ 2.7 | ~ 3.6 | ~ 10.6 |
| Hydrodynamic diameters [nm]^d | | | |
| Peak 1 | 15.7 ± 4.0 | 8.7 ± 1.3 | 141.8 ± 85.2 |
| Intensity [%] | 0.7 | 100 | 100 |
| Mass [%] | 24.8 | 100 | 100 |
| Peak 2 | 91.3 ± 53.7 | - | - |
| Intensity [%] | 99.3 | - | - |
| Mass [%] | 75.2 | - | - |
| PdI^e | 0.31 | 0.20 | 0.18 |

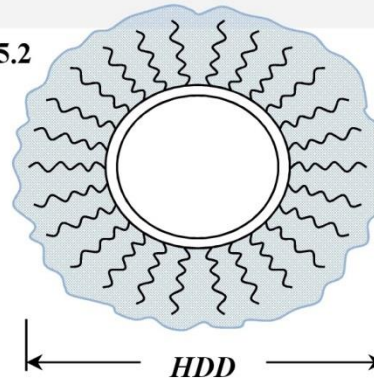
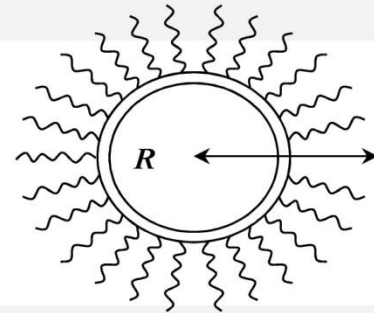


Table: Part, F., Zaba, C., Bixner, O., Zafiu, C., Hann, S., Sinner, E.K., Huber-Humer, M., 2016e. Traceability of fluorescent nanomaterials and their fate in complex liquid waste matrices. Environmental pollution (Barking, Essex : 1987) 214, 795-805.

^a core radii of CdTe and CdSe QDs calculated according to Rogach et al. (2007) and Yu et al. (2003), respectively

^b shell thickness based on Pons et al. (2006)

^c based on estimations from the molar volume

^d HDD measured using DLS at 21°C

^e nanoparticles are monodisperse when polydispersity index (PdI) ≤ 0.2

Additional information: Summary of hypotheses and assumption

- QD-behaviour and **aggregation kinetics** in environmental samples predominantly depending on dissolved organic content (DOC)
- Nano-specific fluorescence properties change:
 - when **DOC interact with QDs** → sorption, particle aggregation or complexation lead to decrease in fluorescence intensity
 - when **QDs decompose** → leaching of heavy metal ions (dissolution of particle core) or oxidation lead to decrease in fluorescence intensity and absorbance
 - **No change** in fluorescence indicate colloidal stability